

2616

PTO/SB/21 (09-04)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/762,380		
	Filing Date	February 7, 2001		
	First Named Inventor	Yoshitaka YAGUCHI, et al.		
	Art Unit	2616		
	Examiner Name	James A. Fletcher		
Total Number of Pages in This Submission 5 + JP OA + 3 references	Attorney Docket No.	MTS-3243US		

3 references				Attorney Docket No. MTS		rs-3243US			
ENCLOSURES (Check all that apply)									
	Fee Transmittal Form Credit Card Payment Form Attached  Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request		<ul> <li>□ Drawing(s)</li> <li>□ Licensing-related Papers</li> <li>□ Petition</li> <li>□ Petition to Convert to a Provisional Application</li> <li>□ Power of Attorney, Revocation, Change of Correspondence Address</li> <li>□ Terminal Disclaimer</li> <li>□ Request for Refund</li> <li>□ CD, Number of CD(s)</li> <li>□ Landscape Table on CD</li> </ul>		on,	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information			
					1	<ul> <li>Status Letter</li> <li>Other Enclosure(s) (please identify below):</li> <li>▶ Return postcard;</li> <li>▶ JP OA</li> <li>▶ PTO Form 1449</li> <li>▶ 3 references</li> </ul>			
		SIGNATURE	OF A	APPLICANT, ATTORNEY O	R AGE	NT			
Firm Na Signatu Printed Date	ure	RatnerPrestia  Daniel N, Color  Daniel N. Calder  July 20, 2005	ķi.	Reg. No.		27,424			
	CERTIFICATE OF TRANSMISSION/MAILING								
I hereby	y certify t	that this correspondence is being footage as first class mail in an env	acsimile	e transmitted to the USPTO or d ddressed to: Commissioner for F	leposited Patents,	with the United States Postal Service P.O. Box 1450, Alexandria, VA 22313-			

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below;

Signature

Typed or Printed Name

Fran Petrillo

Date

July 20, 2005

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MTS-3243US



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/762,380

Applicants:

Yoshitaka YAGUCHI, et al.

Filed:

February 7, 2001

Title:

RECORDING AND REPRODUCING APPARATUS, MPEG

IMAGE STREAM RECORDING AND REPRODUCING APPARATUS

AND MEDIUM (AS AMENDED)

TC/A.U.:

2616

Examiner:

James A. Fletcher

Confirmation No.: 3996

Docket No.:

MTS-3243US

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 08-235832
- 2) 09-214889

Reference 1) has an English abstract and a partial English translation attached to the reference submitted herewith.

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Reference 2) has a counterpart English language patent attached.

Further, References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Japanese Official Action is submitted herewith. Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed within three months of the filing date of an RCE application and before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. 27,424 Attorney for Applicants

· N. Calda

DNC/fp

Enclosures:

PTO Form 1449

(#3) References

Japanese Official Action dated April 26, 2005

Transmittal Form

Dated:

July 20, 2005

charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

The Commissioner for Patents is hereby authorized to I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:,

July 20, 2005

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PTO/SB/08a (08-03) (AW 10/2003) Approved for use through 7/31/2006. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/762,380		
Filing Date	February 7, 2001	-	
First Named Inventor	Yoshitaka YAGUCHI, et al.		
Art Unit	2616		
Examiner Name	James A. Fletcher		
Attorney Docket No.	MTS-3243US		

		SHEET '	1 of 2	Attorne	ey Docket No.	MTS-3	243US		
			U.S. PATI	NT D	OCUMENTS				
		Document Number					•	Pages, Columns, Lines, W	
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (If known)</sup>	Publication (MM-DD-Y)					Relevant Passages or Relevant Figures Appear	
		US 6,292,621 B1 (with counterpart JP 09-214889)	09/18/01		Tanaka et a	al.			
	- 								
***			OREIGN PA	ATEN	T DOCUMEN	TS			
	Cit-	Foreign Patent Document			bliostics Date	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind	Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)  08/15/97				Figures Appear	T <sup>6</sup>
		JP 09-214889 (with counterp 6,292,621 B1)	oart US						
		JP 08-235832 (with English a partial English translation)	abstract and	09	/13/96	HITA	CHI LTD		
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						<del>                                     </del>			╅
Examiner Signature			~	-			Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08B (AW 4/25/03) (04-03)

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Substitute Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known				
Application Number	09/762,380			
Filing Date	February 7, 2001			
First Named Inventor	Yoshitaka YAGUCHI, et al.			
Art Unit	2616			
Examiner Name	James A. Fletcher			
Attorney Docket No.	MTS-3243US			

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	-	Japanese Official Action for Japanese Patent Application No. 2000-169700, dated April 26, 2005.	
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Examiner	Date Considered	1	
Signature			

<sup>1</sup>Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language translation is attached.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.